PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application Assistant Commissioner for Patents P.O. Box 2327 Arlington, VA 22202

Subclass: n/a

NEW APPLICATION TRANSMITTAL

| Transm | itted herewith for filing is the pat | ent application of | | | |
|---|---|--------------------|---|--|--|
| Invento | ntor(s): Ken-ichi KOSUNA | | | | |
| For (titl | or (title): COMPOSITION FOR THE TREATMENT OF SYMPTOMS AND CONDITIONS ASSOCIATED WITH AGING | | | | |
| CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.) I hereby certify that, on the date shown below, this correspondence is being: | | | | | |
| ` . | | MAILING | | | |
| [] | deposited with the United States Posta Washington, D.C. 20231. 37 C.F.R. Section 1.8(a) | | dressed to the Assistant Commissioner for Patents, 37 C.F.R. Section 1.10* | | |
| [] | with sufficient postage as first class m | ail. [xx] | as "Express Mail Post Office to Address" Mailing Label No. <u>EV 032345475 US</u> | | |
| | | | | | |

TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office (703

Date: January 11, 2002_____

Signature Lia H. Costello, Legal Assistant

*WARNING:

[]

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).

"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(New Application Transmittal--page 1 of 5)

1. Type of Application

This transmittal is for a continuation-in-part (C-I-P) application.

2. Benefit of Prior U.S. Applications (35 U.S.C. Sections 119(e), 120, or 121)

The new application being transmitted claims the benefit of prior U.S. applications. Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATIONS CLAIMED.

3. Papers Enclosed

- A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application
- 32 Page(s) of Specification
- 4 Page(s) of Claims

B. Other Papers Enclosed

- 3 Page(s) of declaration and power of attorney
- 1 Page(s) of abstract

4. Additional Papers Enclosed

Information Disclosure Statement (37 C.F.R. 1.98)

5. Declaration or Oath

Enclosed. Executed by inventor.

6. Inventorship Statement

The inventorship for all the claims in this application is the same.

7. Language

English

8. Assignment

An assignment of the invention to Amino Up Chemical Co., Ltd., will follow.

9. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

| Claims | Number Filed | Basic Fee Allowance | Number Extra | Rate | Basic Fee 37 CFR 1.16(a \$740.00 |
|--|--------------|------------------------|--------------|----------|--|
| Total Claims (37 CFR 1.16(c | 2)) 21 | - 20 = | 1 x | \$18.00 | \$18.00 |
| Independent Cla (37 CFR 1.16(t | | - 3 = | 0 x | \$80.00 | \$0.00 |
| Multiple Depen Claim(s), if any (37 CFR 1.16(c | • | | + | \$270.00 | \$0.00 |

10. Small Entity Statement(s)

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Status as small entity was claimed in prior application 09/366,082, filed on August 3, 1999, from which benefit is being claimed for this application under 35 U.S.C. SECTION 120, and which status as a small entity is still proper and desired.

| | Filing Fee Calculation (50% of above) | \$379.00 |
|-----|---------------------------------------|----------|
| 11. | Fee Payment Being Made at This Time | |
| | Enclosed Filing Fee | \$379.00 |
| | Total Fees Enclosed | \$379.00 |

12. Method of Payment of Fees

Charge Account No. 13-1992 in the amount of \$379.00. A duplicate of this transmittal is attached.

13. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 13-1992.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to SECTION 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

14. Instructions as to Overpayment

Credit Account No. 13-1992.

ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATIONS CLAIMED

15. Relate Back

Amend the specification by inserting, before the first line, the following sentence:

A. 35 U.S.C. Sections 120, 121 and 365(c)

"This application is a continuation-in-part of copending application:

APPLICATION NO.

FILING DATE

09/591,243

June 9, 2000

09/366,082

August 3, 1999 (Abandoned)

16. Relate Back--35 U.S.C. Section 119 Priority Claim for Prior Application

The prior U.S. application(s), including any prior International Application designating the U.S., identified above in the section entitled, "Relate Back--35 U.S.C. Sections 120, 121 and 365(c)," in turn itself claim(s) foreign priority(ies) as follows:

Country Application Number Filed

JAPAN 10-221689 08/05/1998

The certified copy(ies) has (have) been filed on August 3, 1999, in prior application 09/366,082, which was filed on August 3, 1999.

17. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor in this application is the same.

19. Small Entity (37 C.F.R. Section 1.28(a))

Applicant has established small entity status by the filing of a statement in parent application 09/366,082 on August 3, 1999.

20. NOTIFICATION IN PARENT APPLICATION OF THIS FILING

A notification of the filing of this continuation-in-part is being filed in the parent application, from which this application claims priority under 35 U.S.C. Section 120.

Date: 1 11 02

Reg. No.: 40,693

Tel. No.: 727-538-3800 Customer No.: 24040 Signature of Practitioner

Dennis G. LaPointe Mason & Associates, P.A.

17757 US Hwy 19 N., Suite 500

Clearwater, FL 33764

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

KOSUNA, Ken-ichi In re application of: Application No.: 09/591,243 Group No.: 1651 Examiner: S. Coe Filed: June 9, 2000

For: COMPOSITION FOR THE TREATMENT OF SYMPTOMS AND CONDITIONS ASSOCIATED

WITH AGING

Assistant Commissioner for Patents P.O. Box 2327 Arlington, VA 22202

NOTIFICATION OF FILING OF CONTINUING, DIVISIONAL OR CONTINUED PROSECUTION APPLICATION

| Notification is hereby being made of the concurrently herewith. | filing of a continuation-in-part application for this case | | | | | |
|---|---|--|--|--|--|--|
| Date: //11/02 | Signature of Practitioner | | | | | |
| Reg. No.: 40,693 Tel. No.: 727-538-3800 Customer No.: 24040 | Dennis G. LaPointe Mason & Associates, P.A. 17757 US Hwy 19 N., Suite 500 Clearwater, FL 33764 | | | | | |
| CERTIFICATION UNDER 37 C.F.R. sections 1.8(a) and 1.10* | | | | | | |

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| | deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents Washington, D.C. 20231. | | | |
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| | 37 C.F.R. section 1.8(a) | | 37 C.F.R. section 1.10* | |
| | with sufficient postage as first class mail. | Þ | as "Express Mail Post Office to Address" Mailing Label No. <u>EV 032345475 US</u> | |
| Date: _ | January 11, 2002 | Lia H. | M. Costelle | |

(Notification of Filing of Continuing, Divisional or Continued Prosecution Application--page 1 of 1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: KOSUNA, Ken-ichi

Application No.:

Group No.:

Filed:

Examiner:

For: COMPOSITION FOR THE TREATMENT OF SYMPTOMS AND CONDITIONS ASSOCIATED

WITH AGING

Box Patent Application Assistant Commissioner for Patents P.O. Box 2327 Arlington, VA 22202

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV 032345475 US Date of Deposit 01/11/2002

I hereby state that the following attached paper or fee

- New Application Transmittal
- Combined Declaration and Power of Attorney
- Specifications, Claims, Abstract
- Information Disclosure Statement
- Notification of Filing of C-I-P Application
- Express Mail Certificate and post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Lia H. Costello, Legal Assistant

Signature of person mailing paper or fee